THOMSON REUTERS WORLD-CHECK ONE
BATCH SCREENING

FACILITATES HIGH-VOLUME SCREENING

Many organizations have to continually screen high volumes of client names, which requires investment in installed systems. The Thomson Reuters World-Check One Batch Screening facility creates the ability to bulk upload batches of names in a secure cloud-based environment for screening during KYC onboarding, ongoing monitoring, and rescreening cycles. The Case Manager tool enables efficient remediation and escalation of cases against the batch files.

FLEXIBILITY – CUSTOMIZE BATCH SETTINGS TO FIT YOUR SCREENING POLICY
Users can set up custom batch groups with individual naming, enabling easy identification for rescreening at a later stage. Batches are uploaded using the CSV file format and can be configured to fit the company screening policy. These include the full file or configurations such as names only or custom or additional (secondary matching) fields.

DATA VALIDATION – INCONSISTENCIES IN THE DATA STRUCTURE ARE AUTOMATICALLY REPORTED
To ensure data integrity during the batch upload process, the system checks the records for any inconsistencies (e.g., "date" appears in the "name" field). Records without error are uploaded automatically to ensure process continuity, while an inconsistency report is produced to indicate all incorrect data. After corrections, the balance of the data can be uploaded and submitted for validation again. The full log of errors and records successfully uploaded is available on the Batch Status page.

DATA UPDATES – ENSURES YOUR DATA IS UP TO DATE AT ALL TIMES
Existing cases stored in the system can be updated by a subsequent batch upload to reflect changes in the source file (e.g., name or country of registration change). The changes to existing cases are recorded for audit purposes. Customers are advised to upload a new batch file as soon as updates are made to the source file.

CASE MANAGER – MANAGE CASES INTELLIGENTLY
All cases are reviewed and managed using the Case Manager tool. To customize workflow, users can assign specific cases for further remediation. This can be based on batch name, date, match resolution status, or source type (e.g., a specific sanctions list). You can also assign cases within a batch to other teams. Cases can also be assigned using various filters (e.g., batch name or source type, such as Sanctions or PEP).

INITIAL AND ONGOING SCREENING – CONFORM TO REGULATORY EXPECTATION
Initial screening is immediately performed on all cases uploaded via batch. Individual cases within the batch file, or the entire batch file, may then be selected for ongoing screening. The option is available to select the entire batch for ongoing screening prior to uploading the batch to World-Check® One.

RULE SETTINGS – TARGET YOUR SCREENING TO THE MOST IMPORTANT SECONDARY IDENTIFIERS
Rule settings for secondary identifiers can be applied to the cases created or updated by batch files, in keeping with the company's risk-based approach to screening. Screening rules can be applied to the following, among others: name, country of residence, gender, date of birth, identification, type or category.

AUTO-RESOLVE – REDUCE USER INTERVENTION
Groups of cases can be automatically resolved without the need for name-by-name remediation by taking advantage of the secondary matching rules. If auto-resolution rules are set, the system will resolve those matches as "false" or remove them from the list of matches. Auto-resolve reduces user intervention and saves time. Alternatively, cases can be remediated manually.

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